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**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN JOSE DIVISION**

## SECURITIES AND EXCHANGE COMMISSION,

Case No. CV-12-2663-LHK

**Plaintiff,**

VS.

GLR CAPITAL MANAGEMENT, LLC, GLR  
ADVISORS, LLC, GERINGER, LUCK & RODE LLC,  
and JOHN A. GERINGER

**NOTICE OF VOLUNTARY  
DISMISSAL OF DEFENDANT  
GERINGER, LUCK & RODE LLC**

## Defendants

and

## GLR GROWTH FUND, L.P.,

## Relief Defendant.

1 Pursuant to Federal Rule of Civil Procedure 41(a)(1), plaintiff Securities and Exchange  
2 Commission (“Commission”) hereby voluntarily dismisses its action against defendant Geringer,  
3 Luck & Rode LLC (“GLR LLC”). Federal Rule of Civil Procedure 41(a)(1) permits a plaintiff to  
4 dismiss claims voluntarily where the opposing party, such as the defendant GLR LLC here, has not  
5 filed an answer or summary judgment motion with the Court.

6 The Commission brought the above-titled action against defendants GLR Capital  
7 Management, LLC, GLR Advisors, LLC, John A. Geringer and GLR LLC and against relief  
8 defendant GLR Growth Fund. L.P. on May 24, 2012. Before the Commission filed its Complaint,  
9 GLR LLC filed a Certificate of Cancellation with the California Secretary of State. GLR LLC has  
10 not retained counsel nor has it filed a responsive pleading to the Commission’s Complaint.

11 On November 7, 2012, the Court held an initial case management conference and directed the  
12 Commission to file its request for dismissal of defendant GLR LLC by December 28, 2012 (Dkt. 43).  
13 In light of GLR LLC’s dissolution, the Commission hereby dismisses its claim against GLR LLC,  
14 pursuant to Federal Rule of Civil Procedure 41(a)(1).

15 Dated: December 21, 2012

Respectfully submitted,

17 /s/ Robert J. Durham  
18 Robert J. Durham  
19 Attorney for Plaintiff  
SECURITIES AND EXCHANGE COMMISSION  
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